

TurtleBay Advisory Services has experts in Technology Risk Management & Business Strategy with over 20 years of experience helping financial institutions improve and manage operations and technology controls. We develop, deploy and implement GRC solutions that align business and operational risk strategies on a timely and cost-effective basis, resulting in increased efficiency, enhanced security and full compliance with regulatory requirements.

Key Features of the Application

- Rapid deployment, training and acceptance
- Integrates risk and compliance management into a unified platform
- Full documentation of testing controls for both efficiency and effectiveness
- Increased operational efficiency and standardization
- Optimized the change management process
- Offers a single repository for policies and procedures
- Web-based training in sync with procedures
- Collaboration tools which promote continuous improvement
- Process-centric, Operational Risk Management capabilities – KRIs, KPIs and KCIs are defined and tracked
- Self-assessment checklists with documentary evidence
- Robust metrics/dash boarding capabilities
- Dashboard to track operational performance as well as the effectiveness of the internal controls
- Comprehensive Cybersecurity Management through a COSO lens to help board and senior executives better communicate their risk tolerance levels and risk-related objectives.

The Three Lines of Defense model has drawn attention to the need for professionals in all three lines to work together around common goals, while still focusing on their own area of responsibility. This means collaboration and communication around many things, particularly the sharing of data and information about risk and compliance activities.

We follow the COSO framework and address Operational Risk Management and Compliance at a process centric level. Our application offers version control and change management capabilities so managing the evolution of the content is easy. Our focus is on the small to mid-sized institution.

*TBAS' integrated suite of applications is designed to significantly improve and simplify our client's **Governance, Risk and Compliance** responsibilities and integrate them with internal policies and procedures at a business process level.*

The TBAS Mission

True business transformation requires properly evaluating people, processes, and technology and applying those learnings in innovative ways. Our mission is to leverage our industry expertise, research, technology, and proven methodologies to transformations that deliver profitability, growth, and optimal operational risk control, transparency and regulatory compliance.

Contact Us

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